### JASPER DERIVATIVES

UCS749: SPEECH PROCESSING AND SYNTHESIS

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# 1 METADATA

2 PRIOR ART

**3 QUARTZNET** 

# 4 CITRINET

**5** MATCHBOXNET

KEYWORDS Computer Science - Computation and Language, Computer Science -Machine Learning, Computer Science - Sound, Electrical Engineering and Systems Science - Audio and Speech Processing INCLUDES QuartzNet, CitriNet & MatchboxNet



# 2 PRIOR ART

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## **4** CITRINET

**5** MATCHBOXNET

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JASPER



FIGURE: Jasper  $B \times R$  model: *B*: number of blocks; *R*: number of sub-blocks.



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solves the same problem as jasper

## QUARTZNET ARCHITECTURE



#### FIGURE: Quartznet Architecture

- Uses separable conv instead of conv.
- 1D Conv (along time) → 1D Conv (along frequency) → Batch Norm → ReLU instead of
- 1D Conv  $\rightarrow$  Batch Norm  $\rightarrow$  ReLU  $\rightarrow$  Dropout.



 $9\times9$  separable Gaussian filter with constituents as

- $\mathcal{N}(x; 0, 0.3)$  along the x-axis; and
- $\mathcal{N}(y; 0, 0.15)$  along the y-axis;

each resolved within limits  $\left[-1,1\right]$  into 9 discrete units.



$$F_x = \frac{1}{\sqrt{2\pi\sigma_x^2}} e^{-\frac{(x-\mu_x)^2}{2\sigma_x^2}}$$
$$\mu_x = 5 \qquad \sigma_x = \frac{4}{3}$$

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### SEPARABLE FILTERS

$$G_y = \frac{1}{\sqrt{2\pi\sigma_y^2}} e^{-\frac{(y-\mu_y)^2}{2\sigma_y^2}}$$
$$\mu_y = 5 \qquad \sigma_y = \frac{2}{3}$$

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# SEPARABLE FILTERS



$$M_{xy} = F_x \times G_y$$

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### SEPARABLE CONV



If *F* and *G* constitute a separable filter M so that  $M(x, y) = F(x) \times G(y)$ , the following equivalence for convolution  $\otimes$  over a given signal *X*, holds true,

$$M \otimes X \equiv F \otimes G \otimes X$$
$$\equiv G \otimes F \otimes X$$

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### LEARNABLE SEPARABLE CONV



If *M* is a learnable 2D filter with size  $k \times k$ ; the num params is  $k^2$ 

If M is also separable into F and G, then, for a given signal X,

 $M \otimes X \equiv F \otimes G \otimes X$ ,

with F and G bearing k params each. Hence, num params becomes 2k.

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In Jasper, for a given convolution layer,

- Let inputs be  $\mathbf{x} \in \mathbb{R}^{C_{\text{in}} \times t}$ ;
- Let outputs be  $\mathbf{y} \in \mathbb{R}^{C_{\text{out}} \times t'}$ ;
- Let 1D conv filter be of size *k*;
- num input time steps = t;
- num input channels =  $C_{in}$ ;
- num output channels =  $C_{out}$ ;
- num params required for each channel of ouptut =  $k \times C_{in}$
- num params required in total =  $k \times C_{in} \times C_{out}$

In Quartznet, the same op is implemented in 2 layers,

- $\blacksquare F(\mathbf{x}) \to \mathbf{y}' : \mathbf{y}' \in \mathbb{R}^{C_{\mathrm{in}} \times t'}$
- $\blacksquare \ G(\mathbf{y}') \to \mathbf{y}$
- *F* convolves over the time axis, separately for each frequency (input channel); hence num params = *k* × *C*<sub>in</sub>
- *G* convolves over the frequency axis, with same kernel for each time step; hence num params = *C*<sub>in</sub> × *C*<sub>out</sub>
- Total num params =  $k \times C_{in} + C_{in} \times C_{out}$



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solves the same problem as jasper



Uses squeeze and excitation attention on top of conv + bn and before non-linear activation.

$$SE(\mathbf{x}) = \mathbf{x} \otimes F_{sc} \circ F_{ex} \circ F_{sq}(\mathbf{x})$$

where,  $\otimes$  is the Hadamard product (element-wise product) Conv is Time-channel separable Conv as in Quartznet.



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Figure 1: A Squeeze-and-Excitation block.

The image is from the original squeeze and excitation paper; and shows SE in the context of 2D Conv. But recall that in the context of speech recognition we have 1D Conv; and the same concept is extended trivially.

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#### SQUEEZE AND EXCITATION



Figure 1: A Squeeze-and-Excitation block.

 $F_{sq}(\mathbf{x}) = \bar{\mathbf{x}} = \frac{1}{T} \sum_{t} \mathbf{x}_{t} \text{ performs global average pooling;} \rightarrow 1 \times C$   $F_{ex}(\mathbf{x}) = \text{RELU}(W_{1}\mathbf{x} + \mathbf{b}_{1}) \text{ conv+RELU;} 1 \times C \rightarrow 1 \times c; \text{ typically } c < C;$  $F_{sc}(\mathbf{x}) = \text{sigmoid}(W_{2}\mathbf{x} + \mathbf{b}_{2}) \text{ conv+sigmoid;} 1 \times c \rightarrow 1 \times C;$ 

### RESULTS

Table 3: LibriSpeech: Citrinet vs Transducers, WER(%)

Madal	TM	Test		Params,
Model	LM	clean	other	M
ContextNet-L [14]	-	2.10	4.60	112.7
	RNN	1.90	4.10	
Conformer-L[15]	-	2.10	4.30	118
	RNN	1.90	3.90	
	-	3.78	9.6	
Citrinet-256	6-gram	3.65	8.06	9.8
	Transf	2.75	6.87	
	-	3.20	7.90	
Citrinet-384	6-gram	2.94	6.71	21.0
	Transf	2.52	5.95	
	-	3.11	7.82	
Citrinet-512	6-gram	2.40	6.08	36.5
	Transf	2.19	5.5	
	-	2.57	6.35	
Citrinet-768	6-gram	2.15	5.11	81
	Transf	2.04	4.79	
	-	2.52	6.22	
Citrinet-1024	6-gram	2.10	5.06	142
	Transf	2.00	4.69	

Citrinet shows comparable performance with significantly lower number of params.



2 PRIOR ART

**3 QUARTZNET** 







 Uses a similar architecture as jasper for keyword spotting aka speech command recognition;

- Designed for devices with low computational and memory resources;
- SoTA performance with significantly fewer params.

Also,

■ Fixed length input (1 second long utterance).



Figure 1: MatchboxNet BxRxC model: B - number of blocks, R - number of sub-blocks, C - the number of channels. Table 1: MatchboxNet-3x2x64 model has B=3 blocks, each black has R=2 time-channel separable convolutional subblocks with C=64 channels, plus 4 additional sub-blocks: prologue - Conv1, and epilogue - Conv2, Conv3, Conv4).

Block	# Blocks	# Sub Blocks	# Output Channels	Kernel
Conv1	1	1	128	11
B1	1	2	64	13
B2	1	2	64	15
<b>B</b> 3	1	2	64	17
Conv2	1	1	128	29, dilation=2
Conv3	1	1	128	1
Conv4	1	1	# classes	1
Soft-max				
Cross-entropy				

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### MATCHBOXNET ARCHITECTURE



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Soft-max				
Cross-entropy				

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Model	# Parameters, K	Accuracy, %	Reference
ResNet-15	238	$95.8 \pm 0.351$	[17]
DenseNet-BC-100	800	96.77	[32]
EdgeSpeechNet-A	107	96.80	[29]
MatchboxNet-3x1x64	77	$97.21 \pm 0.067$	
MatchboxNet-3x2x64	93	$97.48 \pm 0.107$	

Table 2: MatchboxNet on Google Speech Commands dataset v1, the accuracy is averaged over 5 trials (95% Confidence Interval).

Table 3: MatchboxNet on Google Speech Commands dataset v2, the accuracy is averaged over 5 trials (95% Confidence Interval).

Model	# Parameters, K	Accuracy, %	Reference
Attention RNN	202	94.30	[33]
Harmonic Tensor 2D-CNN	-	96.39	[30]
"Embedding + Head" Model	385	97.7	[31]
MatchboxNet-3x1x64	77	$96.91 \pm 0.101$	
MatchboxNet-3x2x64	93	$97.21 \pm 0.072$	
MatchboxNet-6x2x64	140	$97.37\pm0.110$	